## TITLE:

## Visualizing Visual Adaptation

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## SHORT ABSTRACT:

This article describes a novel method for simulating and studying adaptation in the visual system.

## LONG ABSTRACT:

Many techniques have been developed to visualize how an image would appear to an individual with a different visual sensitivity: e.g. because of optical or age differences, or a color deficiency or disease. This protocol describes a technique for incorporating sensory adaptation into the simulations. The protocol is illustrated with the example of color vision, but is generally applicable to any form of visual adaptation. The protocol uses a simple model of human color vision based on standard and plausible assumptions about the retinal and cortical mechanisms encoding color and how these adjust their sensitivity to both the average color and range of color in the prevailing stimulus. The gains of the mechanisms are adapted so that their mean response under one context is equated for a different context. The simulations help reveal the theoretical limits of adaptation and generate "adapted images" that are optimally matched to a specific environment or observer. They also provide a common metric for exploring the effects of adaptation within different observers or different environments. Characterizing visual perception and performance with these images provides a novel tool for studying the functions and consequences of long-term adaptation in vision or other sensory systems.

## INTRODUCTION:

What might the world look like to others, or to ourselves as we change? Answers to these questions are fundamentally important for understanding the nature and mechanisms of perception and the consequences of both normal and clinical variations in sensory coding. A wide variety of techniques and approaches have been developed to simulate how images might appear to individuals with different visual sensitivities. For example, these include simulations of the colors that can be discriminated by different types of color deficiencies ${ }^{1-4}$, the spatial and chromatic differences that can be resolved by infants or older observers ${ }^{5-9}$, how images appear in peripheral vision ${ }^{10}$, and the consequences of optical errors or disease ${ }^{11-14}$. They have also been applied to visualize the discriminations that are possible for other species ${ }^{15-17}$. Typically such simulations use measurements of the sensitivity losses in different populations to filter an image and thus reduce or remove the structure they have difficulty seeing. For instance, common forms of color blindness reflect a loss of one of the two photoreceptors sensitive to medium or long wavelengths, and images filtered to remove their signals typically appear devoid of "reddishgreenish" hues ${ }^{1}$. Similarly, infants have poorer acuity, and thus the images processed for their reduced spatial sensitivity appear blurry ${ }^{5}$. These techniques provide invaluable illustrations of what one person can see that another may not. However, they do not - and often are not intended to - portray the actual perceptual experience of the observer, and in some cases may misrepresent the amount and types of information available to the observer.

This article describes a novel technique developed to simulate differences in visual experience which incorporates a fundamental characteristic of visual coding - adaptation ${ }^{18,19}$. All sensory and motor systems continuously adjust to the context they are exposed to. A pungent odor in a room quickly fades, while vision accommodates to how bright or dim the room is. Importantly, these adjustments occur for almost any stimulus attribute, including "high-level" perceptions such as the characteristics of someone's face ${ }^{20,21}$ or their voice ${ }^{22,23}$, as well as calibrating the motor commands made when moving the eyes or reaching for an object ${ }^{24,25}$. In fact, adaptation is likely an essential property of almost all neural processing. This paper illustrates how to incorporate these adaptation effects into simulations of the appearance of images, by basically "adapting the image" to predict how it would appear to a specific observer under a specific state of adaptation ${ }^{26-29}$. Many factors can alter the sensitivity of an observer, but adaptation can often compensate for important aspects of these changes, so that the sensitivity losses are less conspicuous than would be predicted without assuming that the system adapts. Conversely, because adaptation adjusts sensitivity according to the current stimulus context, these adjustments are also important to incorporate for predicting how much perception might vary when the environment varies.

The following protocol illustrates the technique by adapting the color content of images. Color vision has the advantage that the initial neural stages of color coding are relatively well understood, as are the patterns of adaptation ${ }^{30}$. The actual mechanisms and adjustments are complex and varied, but the main consequences of adaptation can be captured using a simple and conventional two-stage model (Figure 1a). In the first stage, color signals are initially encoded by three types of cone photoreceptors that are maximally sensitive to short, medium or long wavelengths ( $\mathrm{S}, \mathrm{M}$, and L cones). In the second stage, the signals from different cones are
combined within post-receptoral cells to form "color-opponent" channels that receive antagonistic inputs from the different cones (and thus convey "color" information), and "nonopponent" channels that sum together the cone inputs (thus coding "brightness" information). Adaptation occurs at both stages, and adjusts to two different aspects of the color - the mean (in the cones) and the variance (in post-receptoral channels) ${ }^{30,31}$. The goal of the simulations is to apply these adjustments to the model mechanisms and then render the image from their adapted outputs.

The process of adapting images involves six primary components. These are 1) choosing the images and 2) the format for the image spectra; 3) defining the change in color of the environment or 4) in the sensitivity of the observer; 5) using the program to create the adapted images; and 6) using the images to evaluate the consequences of the adaptation. The following considers each of these steps in detail. The basic model and mechanism responses are illustrated in Figure 1, while Figures 2-5 show examples of images rendered with the model.

## PROTOCOL:

NOTE: The protocol illustrated uses a program that allows one to select images and then adapt them using options selected by different drop-down menus.

## 1. Select the image to adapt.

1.1 Click on the image and browse for the filename of the image to work with. Observe the original image in the upper left pane.

## 2. Specify the stimulus and the observer.

2.1 Click the "format" menu to choose how to represent the image and the observer.
2.2 Click on the "standard observer" option to model a standard or average observer adapting to a specific color distribution.

NOTE: In this case standard equations are used to convert the RGB values of the image to the cone sensitivities ${ }^{32}$.
2.3 Click on "individual observer" option to model the spectral sensitivities of a specific observer.

NOTE: Because these sensitivities are wavelength-dependent, the program converts the RGB values of the image into gun spectra by using the standard or measured emission spectra for the display.
2.4 Click on "natural spectra" option to approximate actual spectra in the world.

NOTE: This option converts the RGB values to spectra, for example by using standard basis functions ${ }^{33}$ or Gaussian spectra ${ }^{34}$ to approximate the corresponding spectrum for the image color.

## 3. Select the adaptation condition.

3.1 Adapt either the same observer to different environments (e.g. to the colors of a forest vs. urban landscape), or different observers to the same environment (e.g. a normal vs. color deficient observer).

NOTE: In the former case, use the menus to select the environments. In the latter, use the menus to define the sensitivity of the observer.
3.2 To set the environments, select the "reference" and "test" environments from the dropdown menus.

NOTE: These control the two different states of adaptation by loading the mechanism responses for different environments.

### 3.2.1 Choose the "reference" menu to control the starting environment.

NOTE: This is the environment the subject is adapted to while viewing the original image.
NOTE: The choices shown have been precalculated for different environments. These were derived from measurements of the color gamuts for different collections of images. For example, one application examined how color perception might vary with changes in the seasons, by using calibrated images taken from the same location at different times ${ }^{27}$. Another study, exploring how adaptation might affect color percepts across different locations, represented the locations by sampling images of different scene categories ${ }^{29}$.
3.2.2 Select the "user defined" environment to load the values for a custom environment. Observe a window to browse and select a particular file. To create these files for independent images, display each image to be included (as in step 1) and then click the "save image responses" button.

NOTE: This will display a window where one can create or append to an excel file storing the responses to each image. To create a new file enter the filename, or browse for an existing file. For existing files the responses to the current image are added and the responses to all images automatically averaged. These averages are input for the reference environment when the file with the "user defined" option is selected.
3.2.3 Select the "test" menu to access a list of environments for the image to be adjusted for. Select the "current image" option to use the mechanism responses for the displayed image.

NOTE: This option assumes the subjects are adapting to the colors in the image that is currently being viewed. Otherwise select one of the precalculated environments or the "user defined" option to load the test environment.

## 4. Select the spectral sensitivity of the observer.

NOTE: For the adaptation effects of different environments, the observer will usually remain constant, and is set to the default "standard observer" with average spectral sensitivity.
4.1 There are three menus for setting an individual spectral sensitivity, which control the amount of screening pigment or the spectral sensitivities of the observer.
4.1.1 Click on the "lens" menu to select the density of the lens pigment. The different options allow you to choose the density characteristic of different ages.
4.1.2 Click on the "macular" menu to similarly select the density of the macular pigment. Observe these options in terms of the peak density of the pigment.
4.1.3 Click on the "cones" menu to choose between observers with normal trichromacy or different types of anomalous trichromacy.

NOTE: Based on the choices the program defines the cone spectral sensitivities of the observer and a set of 26 post-receptoral channels that linearly combine the cone signals to roughly uniformly sample different color and luminance combinations.

## 5. Adapt the image.

5.1 Click the "adapt" button.

NOTE: This executes the code for calculating the responses of the cones and post-receptoral mechanisms to each pixel in the image. The response is scaled so that the mean response to the adapting color distribution equals the mean responses to the reference distribution, or so that the average response is the same for an individual or reference observer. The scaling is multiplicative to simulate von Kries adaptation ${ }^{35}$. The new image is then rendered by summing the mechanism responses and converting back to RGB values for display. Details of the algorithm are given in ${ }^{26-29}$.
5.2 Observe three new images on the screen.

NOTE: These are labeled as 1) "unadapted" - how the test image should appear to someone fully adapted to the reference environment; 2) "cone adaptation" - this shows the image adjusted only for adaptation in the receptors; and 3) "full adaptation" - this shows the image predicted by complete adaptation to the change in the environment or the observer.
5.3 Click the "save images" button to save the three calculated-images. Observe a new window on the screen to browse for the folder and select the filename.

## 6. Evaluate the consequences of the adaptation.

NOTE: The original reference and adapted images simulate how the same image should appear under the two states of modeled adaptation, and importantly, differ only because of the adaptation state. The differences in the images thus provide insight into consequences of the adaptation.
6.1. Visually look at the differences between the images.

NOTE: Simple inspection of the images can help show how much our color vision might vary when we live in different color environments, or how much adaptation might compensate for a sensitivity change in the observer.
6.2. Quantify these adaptation effects by using analyses or behavioral measurements with the images to empirically evaluate the consequences of the adaptation ${ }^{29}$.
6.2.1 One application is to measure how color appearance changes. For example, the colors in the two images can be compared to measure how color categories or perceptual salience shift across different environments or observers. For example, analyses of the changes in color with adaptation were used to calculate how much the unique hues (e.g. pure yellow or blue) could theoretically vary because of variations in the observer's color environment ${ }^{29}$.
6.2.2 A second application would be to ask how the adaptation affects visual sensitivity or performance. For example, one study used the adapted images to compare whether visual search for a novel color is faster when observers are first adapted to the colors of the background. The experiment was conducted by superimposing on the images an array of targets and differentlycolored distractors that were adapted along with the images, with the reaction times measured for locating the odd target ${ }^{29}$.

## REPRESENTATIVE RESULTS:

Figures 2-4 illustrate the adaptation simulations for changes in the observer or the environment. Figure 2 compares the predicted appearance of Cezanne's Still Life with Apples for a younger and older observer who differ only in the density of the lens pigment ${ }^{28}$. The original image as seen through the younger eye (2a) appears much yellower and dimmer through the more densely pigmented lens (2b). (The corresponding shifts in the mean color and chromatic responses is illustrated in Figure 1c.) However, adaptation to the average spectral change discounts almost all of the color appearance change (2c). The original color response is almost completely recovered by the adaptation in the cones, so that subsequent contrast changes have negligible effect.

Figure 3 shows van Gogh's Irises filtered to simulate color appearance in a deuteranomalous observer, whose normal M photopigment is shifted in peak sensitivity to within 6 nm of the L photopigment ${ }^{28}$. Adaptation in the cones again adjusts for the mean stimulus chromaticity, but the $L$ vs $M$ contrasts from the anomalous pigments are weak (3b), compressing the mechanism responses along this axis (Figure 1d). It has been suggested that van Gogh might have exaggerated the use of color to compensate for a color deficiency, since the colors he portrayed may appear more natural when filtered for a deficiency. However, contrast adaptation to the reduced contrasts predicts that the image should again "appear" very similar to the normal and anomalous trichromat (3c), even if the latter has much weaker intrinsic sensitivity to the L vs M dimension. Many anomalous trichromats in fact report reddish-greenish contrasts as more conspicuous than would be predicted by their photopigment sensitivities ${ }^{36,37}$.

Figure 4 shows the simulations for an environmental change, by simulating how the hazy image portrayed by Monet's Sunrise (Marine) might appear to an observer fully adapted to the haze (or to an artist fully adapted to his painting). Before adaptation the image appears murky and largely
monochrome (4a), and correspondingly the mechanism responses to the image contrast are weak (Figure 1e). However, adaptation to both the mean chromatic bias and the reduced chromatic contrast (in this case to match the mechanism responses for typical outdoor scenes) normalizes and expands the perceived color gamut so that it is comparable to the range of color percepts experienced for well-lit outdoor scene (4b).

Finally, Figure 5 illustrates the two examples noted in section 6.2 of the protocol for using the model to study color vision. Figure 5a shows the Munsell Palette under adaptation to a lush or arid environment, while Figure 5b plots the shifts in the palette stimuli required to appear pure red, green, blue, or yellow, when the same observer is adapted to a range of different simulated environments. This range is comparable to measurements of the actual stimulus range of these focal colors as measured empirically in the World Color Survey ${ }^{29}$. Figure 5 c instead shows how a set of embedded colors appear before or after adaptation to a Martian landscape. Adapting the set for the image led to significantly shorter reaction times for finding the unique colors in a visual search task ${ }^{29}$.

FIGURES and LEGENDS:


Figure 1: The model. a) Responses are modeled for mechanisms with the sensitivities of the cones (which adapt to the stimulus mean) or post-receptoral combinations of the cones (which adapt to the stimulus variance. b) Each post-receptoral mechanism is tuned to a different
direction in the color-luminance space, as indicated by the vectors. For the simulations 26 mechanisms are computed, which sample the space in 45 deg intervals (shown for the $L$ vs $M$ and $S$ vs LM plane, and the L vs M and luminance plane). c) Responses of the mechanisms in the equiluminant ( $L$ vs $M$ and $S$ vs $L M$ ) plane to the images in the top and middle panel of Figure 2. Mean contrast responses are shown at 22.5 deg intervals to more fully portray the response distribution, though the model is based on channels at 45 deg intervals. In the original image (2a) the mean chromaticity is close to gray $(0,0)$ and colors are biased along a bluish-yellowish axis. Increasing the lens density of the observer produces a large shift in the mean toward yellow (2b). d) Contrast responses for the images shown in Figure 3a and 3b. The cone contrasts in the original (3a) are compressed along the L vs M axis for the color deficient observer (3b). e) Contrast responses for the images shown in Figure 4a and 4b. The low contrast responses for the original image (4a) are expanded following adaptation, which matches the mean responses to the painting to the responses for a color distribution typical of outdoor natural scenes (4b).


Figure 2: Simulating the consequences of lens aging. Cezanne's Still Life with Apples (a) processed to simulate an aging lens (b) and adaptation to the lens (c). Digital image courtesy of the Getty's Open Content Program.

Figure 3: Simulating anomalous trichromacy. van Gogh's Irises (a) simulating the reduced color contrasts in a color-deficient observer (b), and the predicted appearance in observers fully adapted to the reduced contrast (c). Digital image courtesy of the Getty's Open Content Program.


Figure 4: Simulating adaptation to a low contrast environment. Monet's Sunrise (Marine). The original image (a) is processed to simulate the color appearance for an observer adapted to the
low contrasts in the scene (b). This was done by adjusting the sensitivity of each mechanism's sensitivity so that the average response to the colors in the paintings is equal to the average response to colors measured for a collection of natural outdoor scenes. Digital image courtesy of the Getty's Open Content Program.

c) Mars before or after adaptation, with adapted colors for the visual search task


Figure 5. Using the model to examine visual performance. a) The Munsell palette rendered under adaptation to the colors of a lush or arid environment. b) Chips in the palette that should appear pure red, green, blue, or yellow after adaptation to a range of different color environments. Light-shaded symbols plot the range of average chip selections from the languages of the World Color Survey. c) Images of the surface of Mars as they might appear to an observer adapted to Earth or to Mars. Superimposed patches show examples of the stimuli added for the visual search task, and include a set of uniformly colored distractors and one differently-colored target. d) In the experiment search times were measured for locating the odd target, and were substantially shorter within the adapted Mars-adapted images.

## DISCUSSION:

## Critical steps within the protocol

The illustrated protocol demonstrates how the effects of adaptation to a change in the environment or the observer can be portrayed in images. The form this portrayal takes will depend on the assumptions made for the model - for example, how color is encoded, and how the encoding mechanisms respond and adapt. Thus the most important step is deciding on the model for color vision - for example what the properties of the hypothesized channels are, and how they are assumed to adapt. The other important steps are to set appropriate parameters for the properties of the two environments, or two observer sensitivities, that you are adapting between.

## Modifications and Limitations of the technique

The model illustrated is very simple, and there are many ways in which it is incomplete and could be expanded depending on the application. For example, color information is not encoded independently of form, and the illustrated simulations take no account of the spatial structure of the images or of neural receptive fields, or of known interactions across mechanisms such as contrast normalization ${ }^{38}$. Similarly, all pixels in the images are given equal weight, and thus the simulations do not incorporate spatial factors such as how scenes are sampled with eye movements. Adaptation in the model is also assumed to represent simple multiplicative scaling. This is appropriate for some forms of chromatic adaptation but may not correctly describe the response changes at post-receptoral levels. Similarly, the contrast response functions in the model are linear and thus do not simulate the actual response functions of neurons. A further important limitation is that the illustrated simulations do not incorporate noise. If this noise occurs at or prior to the sites of the adaptation, then adaptation may adjust both signal and noise and consequently may have very different effects on appearance and visual performance ${ }^{39}$. One way to simulate the effects of noise is to introduce random perturbations in the stimulus ${ }^{28}$. However this will not mimic what this noise "looks like" to an observer.

## Significance of the technique with respect to alternative methods

As suggested by the illustrated examples, the simulations can capture many properties of color experience that are not evident when considering only the spectral and contrast sensitivity of the observer, and in particular function to highlight the importance of adaptation in normalizing color perception and compensating for the sensitivity limits of the observer. In this regard, the technique provides a number of advantages and applications for visualizing or predicting visual percepts. These include:

Better simulations of variant vision. As noted, filtering an image for a different sensitivity reveals what one experiences when information in the image is altered, but does less well at predicting what an observer with that sensitivity would experience. As an example, a gray patch filtered to simulate the yellowing lens of an older observer's eye looks yellower ${ }^{9}$. But older observers who are accustomed to their aged lenses instead describe and probably literally see the stimulus as gray ${ }^{40}$. As shown here, this is a natural consequence of adaptation in the visual system ${ }^{28}$, and thus incorporating this adaptation is important for better visualizing an individual's percepts.

A common mechanism predicting differences between observers and between environments. Most simulation techniques are focused on predicting changes in the observer. Yet adaptation is also routinely driven by changes in the world ${ }^{18,19}$. Individuals immersed in different visual environments (e.g. urban vs. rural, or arid vs. lush) are exposed to very different patterns of stimulation which may lead to very different states of adaptation ${ }^{41,42}$. Moreover, these differences are accentuated among individuals occupying different niches in an increasingly specialized and technical society (e.g. an artist, radiologist, video game player, or scuba diver). Perceptual learning and expertise have been widely studied and depend on many factors ${ }^{43-45}$. But one of these may be simple exposure ${ }^{46,47}$. For example, one account of the "other race" effect, in which observers are better at distinguishing faces with our own ethnicity, is because they are adapted to the faces they commonly encounter ${ }^{48,49}$. Adaptation provides a common metric for evaluating the impact of a sensitivity change vs. stimulus change on perception, and thus for
predicting how two different observers might experience the same world vs. placing the same observer in two different worlds.

Evaluating the long-term consequences of adaptation. Actually adapting observers and then measuring how their sensitivity and perception change is a well-established and extensively investigated psychophysical technique. However, these measurements are typically restricted to short term exposures lasting minutes or hours. Increasing evidence suggests that adaptation also operates over much longer timescales that are much more difficult to test empirically ${ }^{50-54}$. Simulating adaptation has the advantage of pushing adaptation states to their theoretical longterm limits and thus exploring timescales that are not practical experimentally. It also allows for testing the perceptual consequences of gradual changes such as aging or a progressive disease.

Evaluating the potential benefits of adaptation. A related problem is that while many functions have been proposed for adaptation, performance improvements are often not evident in studies of short-term adaptation, and this may in part be because these improvements arise only over longer timescales. Testing how well observers can perform different visual tasks with images adapted to simulate these timescales provides a novel method for exploring the perceptual benefits and costs of adaptation ${ }^{29}$.

Testing mechanisms of visual coding and adaptation. The simulations can help to visualize and compare both different models of visual mechanisms and different models of how these mechanisms adjust their sensitivity. Such comparisons can help reveal the relative importance of different aspects of visual coding for visual performance and perception.

Adapting images to observers. To the extent that adaptation helps one to see better, such simulations provide a potentially powerful tool for developing models of image processing that can better highlight information for observers. Such image enhancement techniques are widespread, but the present approach is designed to adjust an image in ways in which the actual brain adjusts, and thus to simulate the actual coding strategies that the visual system evolved to exploit. Pre-processing images in this way could in principle remove the need for observers to visually acclimate to a novel environment, by instead adjusting images to match the adaptation states that observers are currently in ${ }^{26,29}$.

## Future directions

It may seem unrealistic to suggest that adaptation could in practice discount nearly fully a sensitivity change from our percepts, yet there are many examples where percepts do appear unaffected by dramatic sensitivity differences ${ }^{55}$, and it is an empirical question how complete the adaptation is for any given case - one that adapted images could also be used to address. In any case, if the goal is to visualize the perceptual experience of an observer, then these simulations arguably come much closer to characterizing that experience than traditional simulations based only on filtering the image. Moreover, they provide a novel tool for predicting and testing the consequences and functions of sensory adaptation ${ }^{29}$. Again this adaptation is ubiquitous in sensory processing, and similar models could be exploited to explore the impact of adaptation on other visual attributes and other senses.

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## DISCLOSURES:

The authors have nothing to disclose.

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